

M2 LMFI

Proofs and programs: advanced topics Linear Logic and Quantitative Semantics

Teachers:

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Organization

- Lectures:
 - Wednesday 14h00-16h00
 - Friday 14h00-16h00
- Grading:
 - weekly homework projects

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Plan

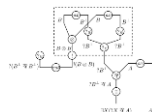
- A foundational study of functional programming languages,
- building on:
 - proof theory (Types, Curry-Howard isomorphism) and
 - the theory of lambda-calculus,
 - adopting the **dynamic and quantitative view brought by Linear Logic**.
 - Focus first part: a **quantitative view in Operational Semantics**
 - Focus second part: a **quantitative view in Denotational Semantics**
 - Openings towards active research topics: **Bayesian learning/ probabilistic programming, ...**
 - Courses from LMFI first term we build on:
 - Proof Theory (cut-elimination, lambda calculus, Curry-Howard iso)
 - Connected to the MPRI course: Semantics of Programming Language (which builds on the models of Linear Logic)

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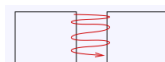
Linear Logic [Girard87] breakthroughs

New insights into **proof theory** and
(via the **Curry-Howard correspondence between proofs and programs**)
into the **semantics of programming language**.

- Proof Nets**: advanced formal system



- Dynamic view, capturing the flow of computation:**



- Game Semantics
- Geometry of Interaction

- representation of proofs (λ -terms, functional programs) by graphs
- tool for the analysis of cut-elimination (= execution) as graph-rewriting process

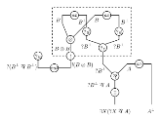
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Linear Logic [Girard87] breakthroughs

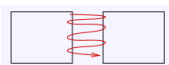
New insights into **proof**
(via the **Curry-Howard correspondence**)
into the **semantics of programs**

- especially suitable for
 - Cost analysis (runtime/memory space/ other resources)
 - modelling probabilistic & quantum programming

- Proof Nets**: advanced formal system



- Dynamic view, capturing the flow of computation:**



- Game Semantics
- Geometry of Interaction

- representation of proofs (λ -terms, functional programs) by graphs
- tool for the analysis of cut-elimination (= execution) as graph-rewriting process

- Account for resources**
 - Quantitative Semantics
 - Quantitative Type Systems

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Resource awareness (Quantitative Types)

$$\frac{}{\mathcal{P}, x : P \vdash x : P} \text{S-VAR} \quad \frac{}{\mathcal{P} \vdash b : B} \text{S-BOOL} \quad \frac{\mathcal{P} \vdash u : P \quad \mathcal{P}, x : P \vdash t : A}{\mathcal{P} \vdash \text{let } x = u \text{ in } t : A} \text{S-LET}$$

$$\frac{\mathcal{P} \vdash v : L_1 \quad \mathcal{P} \vdash w : L_2}{\mathcal{P} \vdash (v, w) : L_1 \otimes L_2} \text{S-PAIR} \quad \frac{\mathcal{P} \vdash v : L_1 \otimes L_2 \quad \mathcal{P}, x : L_1, y : L_2 \vdash t : A}{\mathcal{P} \vdash \text{letp } (x, y) = v \text{ in } t : A} \text{S-LETP}$$

$$\frac{\mathcal{P}, x : P \vdash t : A}{\mathcal{P} \vdash \lambda x.t : P \multimap A} \text{S-ABS} \quad \frac{\mathcal{P} \vdash t : P \multimap A \quad \mathcal{P} \vdash v : P}{\mathcal{P} \vdash t \circ v : A} \text{S-APP}$$

$$\frac{\mathcal{P} \vdash t : A}{\mathcal{P} \vdash !t : !A} \text{S-BANG} \quad \frac{\mathcal{P} \vdash v : !A}{\mathcal{P} \vdash \text{der } v : A} \text{S-DER}$$

$$\frac{}{\Lambda, x : P \vdash x : P} \text{I-VAR} \quad \frac{\Lambda \Gamma_1 \vdash u : P \quad \Lambda \Gamma_2, x : P \vdash t : A}{\Lambda \Gamma_1 \uplus \Gamma_2 \vdash \text{let } x = u \text{ in } t : A} \text{I-LET}$$

$$\frac{\Lambda \uparrow v : L_1 \quad \Lambda \uparrow w : L_2}{\Lambda \uparrow (v, w) : L_1 \otimes L_2} \text{I-PAIR} \quad \frac{\Lambda \uparrow v : L_1 \otimes L_2 \quad \Lambda, x : L_1, y : L_2, \Gamma \vdash t : A}{\Lambda, \Gamma \uparrow \text{letp } (x, y) = v \text{ in } t : A} \text{I-LETP}$$

$$\frac{\Lambda, \Gamma, x : P \vdash t : A}{\Lambda, \Gamma \uparrow \lambda x.t : P \multimap A} \text{I-ABS} \quad \frac{\Lambda, \Gamma_1 \vdash P \multimap A \quad \Lambda, \Gamma_2 \uparrow v : P}{\Lambda, \Gamma_1 \uplus \Gamma_2 \uparrow t \circ v : A} \text{I-APP}$$

$$\frac{(\Lambda, \Gamma_1 \uparrow t : A)_{i=1}^n}{\Lambda, \uplus_i \Gamma_i \uparrow t : [A]_1, \dots, [A]_n} \text{I-BANG} \quad \frac{\Lambda, \Gamma \uparrow v : [A]}{\Lambda, \Gamma \uparrow \text{der } v : A} \text{I-DER}$$

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Higher-Order Bayesian Networks

Higher-Order Calculus

First-Order Rules

$X \notin \text{Nm}(A)$	$X \in \{Y_1, \dots, Y_n\}$ and $X \notin \text{Nm}(A)$
$\Delta \vdash \text{sample}_q X$ 1-SAMPLE	$\Delta, Y_1 : Y_1, \dots, Y_n : Y_n \vdash C(Y_1, \dots, Y_n) : X$ 1-COND
$\Delta, x : P \vdash x : P$ 1-VAR	$\Delta, T_1 \vdash u : P \quad \Delta, T_2, x : P \vdash A$ 1-LET
$\Delta \vdash \text{let } x = u \text{ in } t : A$ 1-PAIR	$\Delta \vdash \text{let } x = u \text{ in } t : A$ 1-LET
$\Delta, T_1 \vdash t : P \vdash A$ 1-ABS	$\Delta, T_1 \vdash t : P \vdash A$ 1-APP
$\Delta, T_1 \vdash t : P \vdash A$ 1-ABS	$\Delta, T_1 \vdash t : P \vdash A$ 1-APP
$\frac{\Delta, T_1 \vdash t : A}{\Delta, T_1 \vdash \lambda x. t : P \vdash A}$ 1-ABS	$\frac{\Delta, T_1 \vdash t : P \vdash A \quad \Delta, T_2 \vdash u : P}{\Delta, T_1 \oplus T_2 \vdash u : A}$ 1-APP
$\frac{\Delta, T_1 \vdash t : A}{\Delta, T_1 \vdash \lambda x. t : P \vdash A}$ 1-ABS	$\frac{\Delta, T_1 \vdash t : P \vdash A \quad \Delta, T_2 \vdash u : P}{\Delta, T_1 \oplus T_2 \vdash u : A}$ 1-APP
$\frac{\Delta, T_1 \vdash t : A}{\Delta, T_1 \vdash \lambda x. t : P \vdash A}$ 1-ABS	$\frac{\Delta, T_1 \vdash t : P \vdash A \quad \Delta, T_2 \vdash u : P}{\Delta, T_1 \oplus T_2 \vdash u : A}$ 1-APP

Fig. 7. The intersection type system iTypes.

$X \notin \text{Nm}(A)$	$X \in \{Y_1, \dots, Y_n\}$ and $X \notin \text{Nm}(A)$
$\Delta \vdash \text{sample}_q X : \mathbb{R}^D$ 1-SAMPLE	$\Delta, Y_1 : Y_1, \dots, Y_n : Y_n \vdash C(Y_1, \dots, Y_n) : X$ 1-COND
$\Delta, x : P \vdash x : P \oplus \emptyset$ 1-VAR	$\Delta, x : X \vdash \text{obs}(x = b) : X^D \oplus \emptyset$ 1-OBS
$\Delta \vdash \text{let } x = u \text{ in } t : A$ 1-PAIR	$\Delta \vdash \text{let } x = u \text{ in } t : A$ 1-LET
$\Delta, T_1 \vdash t : P \vdash A$ 1-ABS	$\Delta, T_1 \vdash t : P \vdash A$ 1-APP

Fig. 12. First-order type system annotated with the cost of computing the factor.

Higher-Order Bayesian Networks

Higher-Order Calculus

First-Order Rules

$\Delta \vdash \text{sample}_q X$ 1-SAMPLE	$\Delta, Y_1 : Y_1, \dots, Y_n : Y_n \vdash C(Y_1, \dots, Y_n) : X$ 1-COND	$\Delta, x : \underline{L}(X) \vdash x : \underline{L}(X)$ 1-VAR
$\Delta \vdash \text{let } x = u \text{ in } t : A$ 1-PAIR	$\Delta \vdash \text{let } x = u \text{ in } t : A$ 1-LET	$\Delta \vdash \text{let } x = u \text{ in } t : \underline{L}(A)$ 1-LETP
$\Delta, T_1 \vdash t : P \vdash A$ 1-ABS	$\Delta, T_1 \vdash t : P \vdash A$ 1-APP	$\Delta, x : \underline{L}(X) \vdash \dots$ 1-LETP

Ground Contexts:

- $\Delta, x : \underline{L}(X) \vdash \dots$
- $\Delta, x : \underline{L}(X) \vdash \dots$

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Quantum lambda calculus

Quantum memory:

$(\lambda(x, y). \text{CNOT}(Hx, y))(Q, Q)$

H, cnot : unitary operators

Internally:

- Qubits non-duplicable \Rightarrow resource-sensitivity
- Entanglement \Rightarrow individual qubit states non-separable
- Operation on one or several qubits in parallel \Rightarrow synchronization

I/O on the wire: classical \Leftarrow quantum

$M, N, P ::= x \mid !M \mid \lambda x. M \mid \lambda^i x. M \mid MN \mid r_1 \mid U_A \mid \text{new} \mid \text{meas}(P, M, N)$ (terms Λ_q)

β rules

- (b) $[\![\lambda(x.M)N]\!] \rightarrow_\beta [\![M(N/x)]\!]$
- (b) $[\![\lambda^i(x.M)N]\!] \rightarrow_\beta [\![M(N/x)]\!]$

Quantum rules

- (n) $[\![\text{new}]\!] \rightarrow_\beta [\![Q \otimes |0\rangle_{r_1}]\!]$ where $|Q\rangle = n$
- (m) $[\![\text{meas}(r_n, M, N)]\!] \rightarrow_\beta [\![\text{out}]\!] [\![M]\!] [\![N]\!]$ where $Q = \alpha_0|0\rangle + \alpha_1|1\rangle$ and Q has $n+1$ qubits
- (u1) for a unary operator: $[\![U_A r_n]\!] \rightarrow_\beta [\![Q ; r_n]\!]$ where Q' is $(A \otimes \text{Id})Q$
- (u2) for a binary operator: $[\![U_A (r_n, r_1)]\!] \rightarrow_\beta [\![Q' ; (r_n, r_1)]\!]$ where Q' is $(A \otimes \text{Id})Q$

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LINEAR LOGIC

Proof-nets / λ -calculus



CbV λ -calculus

CbN λ -calculus

Plan / topics for Part 1

HANDS-ON

- Theoretical tools to study the operational properties of a system:
 - Rewrite Theory (rewriting=abstract form of program execution)
- Linear Logic and Proof-Nets.
- Bridging between lambda-calculus and functional programming:
 - Call-by-Value and Call-by Name, weak and lazy calculi.
- Beyond pure functional:
 - Probabilistic programming and Bayesian Inference: Probabilistic lambda calculi, Bayesian proof-nets

(Internships possible on operational aspects of probabilistic and quantum computation)

Resources

- **Webpage** <https://www.irif.fr/~faggian/LMFI2025>
- **Lecture Notes** (by A. Middeldorp, O. Laurent, L. Ong)

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Operational semantics
of formal calculi and programming languages

Rewriting theory

- **Rewriting = abstract form of program execution**
- Paradigmatic example: λ -calculus (functional programming language, in its essence)

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Math formalizations...

Example (Group Theory)

signature	e (constant) $^-$ (unary, postfix) \cdot (binary, infix)	
equations	$e \cdot x \approx x$ $x^- \cdot x \approx e$ $(x \cdot y) \cdot z \approx x \cdot (y \cdot z)$	\mathcal{E}
theorems	$e^- \approx_{\mathcal{E}} e$ $(x \cdot y)^- \approx_{\mathcal{E}} y^- \cdot x^-$	
rewrite rules	$\begin{array}{ll} e \cdot x \rightarrow x & x \cdot e \rightarrow x \\ x^- \cdot x \rightarrow e & x \cdot x^- \rightarrow e \\ (x \cdot y) \cdot z \rightarrow x \cdot (y \cdot z) & x^- \rightarrow x \\ e^- \rightarrow e & (x \cdot y)^- \rightarrow y^- \cdot x^- \\ x^- \cdot (x \cdot y) \rightarrow y & x \cdot (x^- \cdot y) \rightarrow y \end{array}$	\mathcal{R}
①	$s \approx t$ is valid in \mathcal{E} ($s \approx_{\mathcal{E}} t$) if and only if s and t have same \mathcal{R} -normal form	
②	\mathcal{R} admits no infinite computations	
① & ②	$\implies \mathcal{E}$ has decidable validity problem	

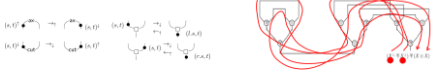
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Graph Rewriting

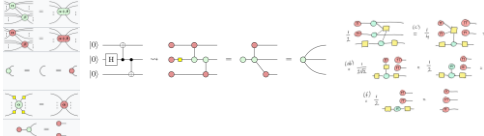
LL proof-nets



Geometry of Interaction



ZX-calculus, string diagrams..



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A colony of chameleons includes 20 red, 18 blue, and 16 green individuals. Whenever two chameleons of different color meet, each changes to the third color. Some time passes during which no chameleons are born or die nor do any enter or leave the colony. Is it possible that at the end of this period, all 54 chameleons are the same color?



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Modelling computation

Example (Lambda Calculus)

signature	λ (binds variables) \cdot (application, binary, infix)
terms	$M ::= x \mid (\lambda x. M) \mid (M \cdot M)$
α conversion	$\lambda x. x \cdot y =_{\alpha} \lambda z. z \cdot y$
β reduction	$(\lambda x. M) \cdot N \rightarrow_{\beta} M[x := N]$ replace free occurrences of x in M by N (and avoid variable capturing)
rewriting	$(\lambda x. x \cdot x) \cdot (\lambda x. x \cdot x) \rightarrow (\lambda x. x \cdot x) \cdot (\lambda x. x \cdot x)$
inventor	Alonzo Church (1932)



both Combinatory Logic and Lambda Calculus are Turing-complete

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Rewriting

- **Rewrite Theory** provides a powerful set of tools to study **computational and operational properties** of a system : **normalization, termination, confluence, uniqueness of normal forms**
- tools to study and compare strategies:
 - Is there a strategy guaranteed to lead to **normal form**, if any (**normalizing strat.**) ?
- **Abstract Rewrite Systems (ARS)** capture the common substratum of rewrite theory (**independently from the particular structure** of terms) - can be used in the study of any calculus or programming language.

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Abstract Rewriting: motivations

concrete rewrite formalisms / concrete operational semantics:

- λ -calculus
- Quantum/probabilistic/non-deterministic/..... λ -calculus
- Proof-nets / graph rewriting
- Sequent calculus and cut-elimination
- string rewriting
- term rewriting

abstract rewriting

- **independent from structure** of objects that are rewritten
- **uniform** presentation of properties and proofs

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Why a theory of rewriting matters?

- **Rewriting = abstract form of program execution**

Rewriting theory provides a sound framework for reasoning about

- **programs transformations**, such as compiler optimizations or parallel implementations,
- **program equivalence**.

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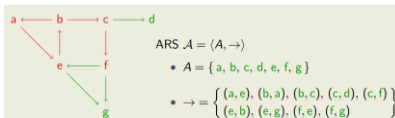
Abstract Rewriting

Basic language

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ARS

Definition 1.1.1. An *abstract rewrite system* (ARS for short) is a pair $\mathcal{A} = \langle A, \rightarrow \rangle$ consisting of a set A and a binary relation \rightarrow on A . Instead of $(a, b) \in \rightarrow$ we write $a \rightarrow b$ and we say that $a \rightarrow b$ is a *rewrite step*.



• A (finite) *rewrite sequence* is a non-empty sequence (a_0, \dots, a_n) of elements in A such that $a_i \rightarrow a_{i+1}$
 We write $a_0 \rightarrow^n a_n$ or simply $a_0 \rightarrow^* a_n$

• **rewrite sequence**

- **finite** $a \rightarrow e \rightarrow b \rightarrow c \rightarrow f$
- **empty** a
- **infinite** $a \rightarrow e \rightarrow b \rightarrow a \rightarrow e \rightarrow b \rightarrow \dots$

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Composition

- \leftarrow inverse of \rightarrow
 - \rightarrow^* transitive and reflexive closure of \rightarrow
 - $^* \leftarrow$ inverse of \rightarrow^*
- $s \leftrightarrow_R t$ iff $s \rightarrow_R t$ or $t \rightarrow_R s$
 $s \leftrightarrow_R^+ t$ iff $s = s_0 \leftrightarrow_R s_1 \leftrightarrow_R \dots \leftrightarrow_R s_n = t$ for $n \geq 0$
- \leftrightarrow symmetric closure of \rightarrow
 - \leftrightarrow^* **conversion** (equivalence relation generated by \rightarrow) **
 - \rightarrow^+ transitive closure of \rightarrow
 - $\rightarrow^=$ reflexive closure of \rightarrow
- is relation composition: $R \cdot S = \{ (a, c) \mid a R b \text{ and } b S c \}$
- $\downarrow = \rightarrow^* \cdot ^* \leftarrow$
- If $\rightarrow_1, \rightarrow_2$ are binary relations on A then $\rightarrow_1 \cdot \rightarrow_2$ denotes their composition, i.e. $t \rightarrow_1 \cdot \rightarrow_2 s$ iff there exists $u \in A$ such that $t \rightarrow_1 u \rightarrow_2 s$.
 - We write $\langle A, \{ \rightarrow_1, \rightarrow_2 \} \rangle$ to denote the ARS (A, \rightarrow) where $\rightarrow = \rightarrow_1 \cup \rightarrow_2$.

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Closure

The transitive-reflexive closure of a relation is a closure operator, i.e. satisfies $\rightarrow \subseteq \rightarrow^*$, $(\rightarrow^*)^* = \rightarrow^*$, $\rightarrow_1 \subseteq \rightarrow_2$ implies $\rightarrow_1^* \subseteq \rightarrow_2^*$

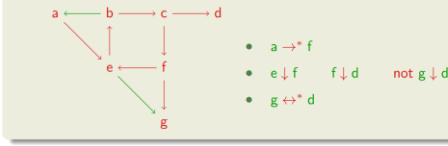
As a consequence $(\rightarrow_1 \cup \rightarrow_2)^* = (\rightarrow_1^* \cup \rightarrow_2^*)^*$.

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Terminology

- if $x \rightarrow^* y$ then x **rewrites** to y and y is **reduct** of x
- if $x \rightarrow^* z \leftarrow^* y$ then z is **common reduct** of x and y
- if $x \leftrightarrow^* y$ then x and y are **convertible**

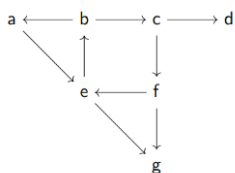
Example



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Normal forms model results

Definition 1.1.11. Let $\mathcal{A} = \langle A, \rightarrow \rangle$ be an ARS. An element $a \in A$ is **reducible** if there exists an element $b \in A$ with $a \rightarrow b$. A **normal form** is an element that is not reducible. The set of normal forms of \mathcal{A} is denoted by $NF(\mathcal{A})$ or $NF(\rightarrow)$ when \mathcal{A} can be inferred from the context. An element $a \in A$ **has** a normal form if $a \rightarrow^* b$ for some normal form b . In that case we write $a \rightarrow^! b$.



Element **a** has normal forms?
How many normal forms has this ARS?

- ARS $\mathcal{A} = \langle A, \rightarrow \rangle$
- d is normal form
 - $NF(\mathcal{A}) = \{d, g\}$
 - $b \rightarrow^! g$

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Operational properties of interest

Termination and Confluence

Existence and uniqueness of normal forms

How to Compute

reduction strategies with good properties:

- standardization,
- normalization

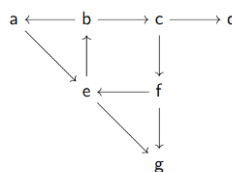
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Termination

Definition 1.2.1. Let $\mathcal{A} = \langle A, \rightarrow \rangle$ be an ARS. An element $a \in A$ is called **terminating** or **strongly normalizing (SN)** if there are no infinite rewrite sequences starting at a . The ARS \mathcal{A} is **terminating** or **strongly normalizing** if all its elements are terminating. An element $a \in A$ has **unique normal forms (UN)** if it does not have different normal forms ($\forall b, c \in A$ if $a \rightarrow^! b$ and $a \rightarrow^! c$ then $b = c$). The ARS \mathcal{A} has unique normal forms if all its elements have unique normal forms.

An element a is **weakly normalizing (WN)** (or simply **normalizing**) if it has a normal form.

- **SN** strong normalization termination
 - no infinite rewrite sequences
- **WN** (weak) normalization
 - every element has at least one normal form
 - $\forall a \exists b \ a \rightarrow^! b$
- **UN** unique normal forms
 - no element has more than one normal form
 - $\forall a, b, c$ if $a \rightarrow^! b$ and $a \rightarrow^! c$ then $b = c$



a is WN? SN?
 c is WN? SN?
 a or c has UN?

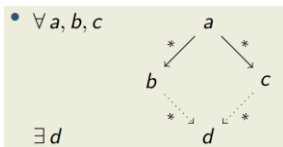
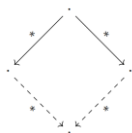
The nf are convertible?

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Confluence

Definition 1.2.3. Let $\mathcal{A} = \langle A, \rightarrow \rangle$ be an ARS. An element $a \in A$ is *confluent* if for all elements $b, c \in A$ with $b \xrightarrow{*} a \rightarrow^* c$ we have $b \downarrow c$. The ARS \mathcal{A} is confluent if all its elements are confluent.



Every confluent ARS has unique normal forms.

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Given

$$\mathcal{R} = \begin{cases} f(x, x) \rightarrow c \\ a \rightarrow b \\ f(x, b) \rightarrow d \end{cases}$$

$f(a, a)$ has normal form?
Can you produce two different nf?

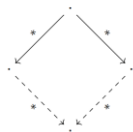
we can compute from the same term $f(a, a)$ two different normal-forms c and d
different meaning for same term!
(also: different meaning for equivalent terms)

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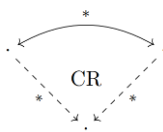
Confluence & CR

Definition 1.2.3. Let $\mathcal{A} = \langle A, \rightarrow \rangle$ be an ARS. An element $a \in A$ is *confluent* if for all elements $b, c \in A$ with $b \xrightarrow{*} a \rightarrow^* c$ we have $b \downarrow c$. The ARS \mathcal{A} is confluent if all its elements are confluent.

Confluence



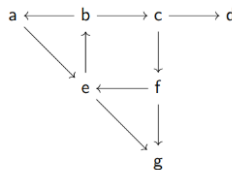
Church-Rosser



An ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ is confluent if and only if $\leftrightarrow^* \subseteq \downarrow$.

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Confluence



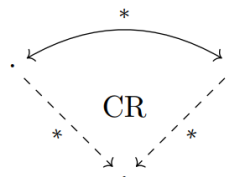
1. a is confluent?
2. f is confluent?

3. Can you add a single arrow so that the resulting ARS has **unique normal forms without being confluent**?

Bonus Point

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Same meaning for *equivalent* terms

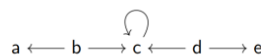


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Definition 1.2.10. An ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ has *unique normal forms with respect to conversion* (UNC) if different normal forms are not convertible ($\forall a, b \in \text{NF}(\mathcal{A})$ if $a \leftrightarrow^* b$ then $a = b$).

in an ARS with the property UNC every equivalence class of convertible elements contains at most one normal form.

Q: are UN and UNC equivalent?



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Global vs Local

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Confluence

A property of term t is *local* if it is quantified over only *one-step reductions* from t ; it is *global* if it is quantified over all *rewrite sequences* from t .

Locally confluent (WCR)	Strongly confluent	Diamond

Local confluence Let $\mathcal{A} = \langle A, \rightarrow \rangle$ be an ARS. An element $a \in A$ is **locally confluent** for all elements $b, c \in A$ with $b \rightarrow a \rightarrow c$ we have $b \downarrow c$. The ARS \mathcal{A} is confluent if all its elements are confluent.

An ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ has the *diamond property* (\diamond) if $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

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An ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ is *strongly confluent* (SCR) if $\leftarrow \cdot \rightarrow \subseteq \rightarrow^* \cdot \leftarrow^*$, see Figure

a Show that every strongly confluent ARS is confluent.
b Does the converse also hold?
c Show that an ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ is confluent if and only if $\leftarrow^* \cdot \rightarrow^* \subseteq \rightarrow^* \cdot \leftarrow^*$

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Confluence

A property of term t is *local* if it is quantified over only *one-step reductions* from t ; it is *global* if it is quantified over all *rewrite sequences* from t .

Locally confluent (WCR)	Strongly confluent	Diamond

confluence Let $\mathcal{A} = \langle A, \rightarrow \rangle$ be an ARS. An element $a \in A$ is *confluent* if for all elements $b, c \in A$ with $b \rightarrow^* a \rightarrow^* c$ we have $b \downarrow c$. The ARS \mathcal{A} is confluent if all its elements are confluent.

Global property:

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- diamond property** \diamond
 - $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$
 - $\forall a, b, c$

$\exists d$

every ARS with diamond property is confluent

Proof by tiling

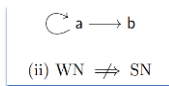
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Which is true?

- SN \Rightarrow WN
- WN \Rightarrow SN
- Confluence \Rightarrow UN
- UN \Rightarrow Confluence
- Confluence \Rightarrow Local confluence
- Local confluence \Rightarrow Confluence
- WN & UN \Rightarrow Confluence
- WN & Local Conf. \Rightarrow Confluence
- SN & Local Conf. \Rightarrow Confluence

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WN vs SN



$$\mathcal{R} = \left\{ \begin{array}{l} f(a) \rightarrow c \\ f(x) \rightarrow f(a) \end{array} \right.$$

The system is weakly normalising but not strongly normalising:

Can you find an infinite reduction sequence from f(b)?

$$f(b) \rightarrow f(a) \rightarrow c$$

$$f(b) \rightarrow f(a) \rightarrow f(a) \dots$$

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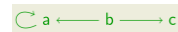
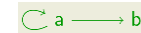
Lemma
 WN & UN \implies CR

- Proof**
- WN $\implies \exists n_1, n_2: b_1 \rightarrow^! n_1$ and $b_2 \rightarrow^! n_2$
 - UN $\implies n_1 = n_2 \implies b_1 \downarrow b_2$



WN vs SN

1. SN \implies WN
2. WN \implies SN
3. Confluence \implies UN
4. UN \implies Confluence
5. Confluence \implies Local confluence
6. Local confluence \implies Confluence
7. WN & UN \implies Confluence
8. WN & Local Conf. \implies Confluence
9. SN & Local Conf. \implies Confluence



Newman's Lemma

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Newman Lemma

Newman's Lemma. Every terminating and locally confluent ARS is confluent.

By well-founded induction

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Memo: Well-founded Induction

given

- property P of ARSs with $P(\mathcal{A}) \iff \forall a: P(a)$
- strongly normalizing ARS $\mathcal{A} = \langle A, \rightarrow \rangle$

to conclude

- $P(\mathcal{A})$

it is sufficient to prove

- if $P(b)$ for every b with $a \rightarrow b$ then $P(a)$

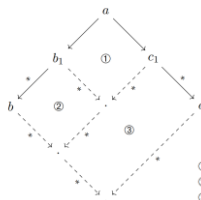
induction hypothesis

for arbitrary element a

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Newman Lemma

Newman's Lemma. Every terminating and locally confluent ARS is confluent.



- ① WCR
- ② induction hypothesis ($a \rightarrow b_1 \implies b_1$ is CR)
- ③ induction hypothesis ($a \rightarrow c_1 \implies c_1$ is CR)

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Newman Lemma

Bonus Exercise

Newman's Lemma. Every terminating and locally confluent ARS is confluent.

A second Proof. Let $\mathcal{A} = \langle A, \rightarrow \rangle$ terminating and locally confluent. It suffices to show that every element has unique normal forms

- suppose $B = \{ a \in A \mid \neg \text{UN}(a) \} \neq \emptyset$
- let $b \in B$ be minimal element (with respect to \rightarrow)
- $b \rightarrow^! n_1$ and $b \rightarrow^! n_2$ with $n_1 \neq n_2$

➤ Conclude by showing that it is impossible (absurd)

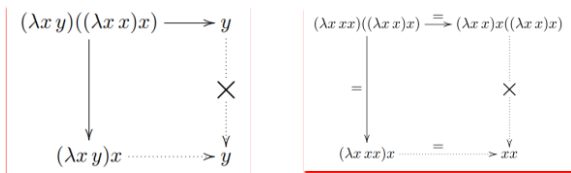
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Recap basics

- An abstract rewriting system (ARS) is a pair $(\mathcal{A}, \rightarrow)$ consisting of a set \mathcal{A} and a binary relation \rightarrow on \mathcal{A} whose pairs are written $t \rightarrow s$ and called steps.
 - We denote \rightarrow^* (resp. $\rightarrow^=$) the transitive-reflexive (resp. reflexive) closure of \rightarrow . We write $t \leftarrow u$ if $u \rightarrow t$.
 - If $\rightarrow_1, \rightarrow_2$ are binary relations on \mathcal{A} then $\rightarrow_1 \cdot \rightarrow_2$ denotes their composition, i.e. $t \rightarrow_1 \cdot \rightarrow_2 s$ if there exists $u \in \mathcal{A}$ such that $t \rightarrow_1 u \rightarrow_2 s$.
 - We write $(\mathcal{A}, \{\rightarrow_1, \rightarrow_2\})$ to denote the compound system $(\mathcal{A}, \rightarrow)$ where $\rightarrow = \rightarrow_1 \cup \rightarrow_2$.
-
- A \rightarrow -sequence (or reduction sequence) from t is a (possibly infinite) sequence t, t_1, t_2, \dots such that $t_i \rightarrow t_{i+1}$.
 $t \rightarrow^* s$ indicates that there is a finite sequence from t to s .
 A \rightarrow -sequence from t is maximal if it is either infinite or ends in a \rightarrow -nf.

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You have already seen an example:
in the notes by Joly



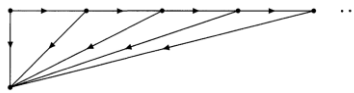
Definition The development relation is the least reflexive relation \triangleright on Λ such that:

- $t \triangleright t' \implies \lambda x t \triangleright \lambda x t'$
- $t \triangleright t', u \triangleright u' \implies tu \triangleright t'u'$
- $t \triangleright t', u \triangleright u' \implies (\lambda x t)u \triangleright t'[x := u']$.

Lemma 1 $\rightarrow \subseteq \triangleright \subseteq \rightarrow^* \implies$.

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Recap Flash Ex



➤ EX Say which properties hold

1. Confluent
2. Locally confluent
3. Normalizing (weakly normalizing, WN)
4. Terminating (strongly normalizing, SN)

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The heart of confluence is a diamond

Prop. DIAMOND implies CONFLUENCE

Can rarely be used directly:
Most relations of interest do not satisfy it

Lemma (Characterize Confluence). \rightarrow is confluent if and only if there exists a relation \Leftrightarrow such that

- $\Leftrightarrow^* = \rightarrow^*$,
- \Leftrightarrow is diamond.

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You have already seen an example:
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Lemma 3 (Characterize Confluence). \rightarrow is confluent if and only if there exists a relation \Leftrightarrow such that

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Closure

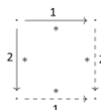
\rightarrow_x^* is the reflexive, transitive closure of \rightarrow_x :
 (1) $M \rightarrow_x N \Rightarrow M \rightarrow_x^* N$.
 (2) $M \rightarrow_x^* M$.
 (3) $M \rightarrow_x^* N, N \rightarrow_x L \Rightarrow M \rightarrow_x^* L$.

The transitive-reflexive closure of a relation is a closure operator, i.e. satisfies $\rightarrow \subseteq \rightarrow^*$, $(\rightarrow^*)^* = \rightarrow^*$, $\rightarrow_1 \subseteq \rightarrow_2$ implies $\rightarrow_1^* \subseteq \rightarrow_2^*$

As a consequence $(\rightarrow_1 \cup \rightarrow_2)^* = (\rightarrow_1^* \cup \rightarrow_2^*)^*$.

Commutation

Commutation. Two relations \rightarrow_1 and \rightarrow_2 on A commute if $\leftarrow_1^* \cdot \rightarrow_2^* \subseteq \rightarrow_2^* \cdot \leftarrow_1^*$.



Confluence. A relation \rightarrow on A is confluent if it commutes with itself.

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Proving confluence modularly

Lemma (Hindley-Rosen)

If two relations \rightarrow_1 and \rightarrow_2 are **confluent** and **commute with each other**, then

$\rightarrow_1 \cup \rightarrow_2$ is confluent.

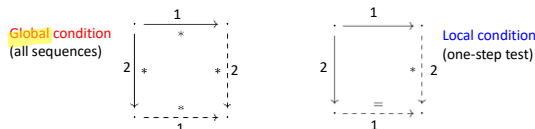
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An effective usable technique

Lemma (Hindley-Rosen)

If two relations \rightarrow_1 and \rightarrow_2 are **confluent** and **commute with each other**, then

$\rightarrow_1 \cup \rightarrow_2$ is confluent.



Lemma (Hindley's local test)

Strong commutation $\leftarrow_1 \cdot \rightarrow_2 \subseteq \rightarrow_2^* \cdot \leftarrow_1^*$ implies commutation.

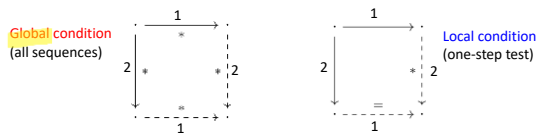
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an effective usable technique

Lemma (Hindley-Rosen)

If two relations \rightarrow_1 and \rightarrow_2 are **confluent** and **commute with each other**, then

$\rightarrow_1 \cup \rightarrow_2$ is confluent.



$\leftarrow_1 \cdot \rightarrow_2 \subseteq \rightarrow_2^* \cdot \leftarrow_1^*$ (Strong Commutation)

► Lemma (Local test). Strong commutation implies commutation.

Strategies

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