

# Reasoning on equivalence of programs

We will follow notes (available online) by :

- Luke Ong (Oxford)
- Roberto Amadio (IRIF)

• [Lecture notes](#) by L. Ong: Section 5 (and 6)  
 • [Operational methods in semantics](#) by R. Amadio: Chapter 8 (weak reduction strategies) and 9 (simulation).

1

## Equivalence on programs

A notion of *equivalence* among programs should be *natural* and *usable*.

- *Contextual equivalence is natural.*
- It can be characterized as a certain *simulation* which is easier to reason about.

2

## Contextual equivalence

write  $M \Downarrow$  and say that  $M$  converges if  $\exists V M \Downarrow V$

We observe the *termination* of the term placed in a *closing context*, ie: contexts  $C$  such that  $C[M]$  and  $C[N]$  are *closed terms*

$M \leq_C N$  if for all closing  $C$  ( $C[M] \Downarrow$  implies  $C[N] \Downarrow$ )

Contextual equivalence is derived by defining:

$$M \approx_C N \text{ if } M \leq_C N \text{ and } N \leq_C M$$

3

## Motivating example: 1+1 = 2 ?

$one \stackrel{\text{def}}{=} \lambda x. \lambda y. x y$   
 $two \stackrel{\text{def}}{=} \lambda x. \lambda y. x (x y)$   
 $succ \stackrel{\text{def}}{=} \lambda n. \lambda x. \lambda y. x (n x y)$

Is it the case that  $succ\ one \Downarrow two$  holds? (in weak CbV?)

1. Are the terms *succ one* and *two* contextually equivalent?
2. Does the following statement make sense?

Think of the Church numeral  $\underline{n}$  as the procedure that takes a function-input and an argument-input, and applies the function  $n$ -times to the argument.

4

# Bisimulation

where the idea comes from?

**The Reference:**

Robin Milner, *Communication and Concurrency*, Prentice Hall, 1989.

5

when two machines have the same behaviour?

Fig. 1.5 Two vending machines.

**Intuitively :**

- when we do something with one machine, we must be able to do the same with the other
- the same is again true, on the two states that the machines evolve to.

6

**Definition (bisimulation)** A relation  $\mathcal{R}$  on processes is a **bisimulation** if whenever  $P \mathcal{R} Q$ :

- if  $P \xrightarrow{\mu} P'$ , then there is  $Q'$  such that  $Q \xrightarrow{\mu} Q'$  and  $P' \mathcal{R} Q'$ .
- if  $Q \xrightarrow{\mu} Q'$ , then there is  $P'$  such that  $P \xrightarrow{\mu} P'$  and  $P' \mathcal{R} Q'$ .

$P$  and  $Q$  are **bisimilar**, written  $P \sim Q$ , if  $P \mathcal{R} Q$ , for some bisimulation  $\mathcal{R}$ .

The bisimulation diagram:

$$\begin{array}{ccc} P & \mathcal{R} & Q \\ \mu \downarrow & & \mu \downarrow \\ P' & \mathcal{R} & Q' \end{array}$$

7

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$P$  and  $Q$  are **bisimilar**, written  $P \sim Q$ , if  $P \mathcal{R} Q$ , for some bisimulation  $\mathcal{R}$ .

$\{(M_1, N_1), (M_2, N_2), (M_2, N_3), (M_3, N_4), (M_3, N_5)\}$

8

**Idea: observing the behaviour of a function**

Given a closed term  $s$ , the only experiment of depth 1 we can do is to evaluate  $s$  and see if it converges to some abstraction (weak head normal form)  $\lambda x.p_1$ . If it does so, we can continue the experiment to depth 2 by supplying a term  $t_1$  as input to  $\lambda x.p_1$ , and so on. Note that what the experimenter can observe at each stage is only the *fact* of convergence, not which term lies under the abstraction. We can picture matters thus:

Stage 1 of experiment:  $s \Downarrow \lambda x.p_1$ ;

Stage 2 of experiment:  $p_1[t_1/x] \Downarrow \dots$

9

**Simulation**

**Definition 185 (simulation)** We say that a binary relation on closed terms  $S$  is a **simulation** if whenever  $(M, N) \in S$  we have: (1) if  $M \Downarrow$  then  $N \Downarrow$  and (2) for all  $P$  closed  $(MP, NP) \in S$ . We shall also use the infix notation  $M \dot{S} N$  for  $(M, N) \in S$ . We define  $\leq_S$  as the largest simulation.

10

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(using that the set of binary relations is a complete lattice under set inclusion:)

$\leq_S$  is the largest fixed point of the following function on binary relations

$$f(S) = \{(M, N) \mid M \Downarrow \text{ implies } N \Downarrow, \forall P \text{ closed } (MP, NP) \in S\}$$

**This is a CO-INDUCTIVE DEFINITION**

11

**Induction and Co-induction**

we make a pause to understand (co-)inductive definitions and the (co-)inductive method

12

### Inductively generated sets

- To define a set S "inductively", we need
- Basis:** Specify one or more elements that are in S.
- Induction Rule:** Give one or more rules telling how to construct a new element from an existing element in S.
- Closure:** no other elements are in S.

Example: the following rules inductively define which subset of Z?

- Basis:**  $3 \in S$
- Induction rule:**

$$\frac{x \in S \ \& \ x \in Z}{x+4 \in S}$$

- inductive definition of  $S = \{3,7,11,15,19,23,\dots\}$
- Without closure requirement**, lots of sets would satisfy this def. For example, Z works since  $3 \in Z$  and  $x+4 \in Z$ .

13

### Termination (inductive def.)

$$\frac{P \text{ Normal form}}{P \downarrow} \qquad \frac{P \rightarrow P' \quad P' \downarrow}{P \downarrow}$$

The *smallest* set of elements in S that is *closed under these rules*; i.e., the smallest subset  $T \subseteq S$  such that:

- All normal forms are in T
- if there is a *step*  $P \rightarrow P'$  for some  $P' \in T$ , then also  $P \in T$ .

14

### Non-termination (co-inductive def.)

$$\frac{P \rightarrow P' \quad P' \uparrow}{P \uparrow}$$

The largest subset  $D \subseteq S$  such that if  $P \in D$  then there is  $P' \in D$  such that  $P \rightarrow P'$

15

### In which sense rules define a set?

considered a set of *rule instances* of the form

$$\frac{X_1 \ X_2 \ \dots \ X_n}{X}$$

where X and the  $X_i$  are members of some set  $S$ , *S set of judgments*

Rules define a set operator

$$F(B) \triangleq \{X \mid \{X_1, X_2, \dots, X_n\} \subseteq B \text{ and } \frac{X_1 \ X_2 \ \dots \ X_n}{X} \text{ is a rule instance}\}$$

**Ex Question:** Is true that F is monotone?  
A set operator F is *monotone* if  $B \subseteq C$  implies  $F(B) \subseteq F(C)$ .

16

### In which sense F defines a set?

desirable properties of the set  $A \subseteq S$  defined by F:

- A is F-closed if:**  $F(A) \subseteq A$ .  
Every element that the rules say should be in A to actually be in A.
- A is F-consistent if:**  $A \subseteq F(A)$ .  
Every element of A *is the* result of applying a rule, all elements that cannot be inferred from A are not in A.

The set A is

- closed** : no new judgments can be inferred from A
- consistent** all judgments that cannot be inferred from A are not in A.

17

### In which sense F defines a set?

desirable properties of the set  $A \subseteq S$  defined by F:

- A is F-closed if:**  $F(A) \subseteq A$ .  
Every element that the rules say should be in A to actually be in A.
- A is F-consistent if:**  $A \subseteq F(A)$ .  
Every element of A *is the* result of applying a rule, all elements that cannot be inferred from A are not in A.

- If both hold, A is a **fixed point**
- Does F actually have a fixed point?
- Is the fixed point **unique**?

18

### Non-termination (co-inductive def.)

$$\frac{P \rightarrow P' \quad P' \uparrow}{P \uparrow}$$

The largest subset  $D \subseteq S$  such that if  $P \in D$  then there is  $P' \in D$  such that  $P \rightarrow P'$

ie, each element in the closure is the conclusion of a rule whose premises also belongs to the closure.

Start with the set  $S$  of all elements. Then repeatedly remove  $P$  from the set if  $P$  has no reduction step.

19

### Simple example (on a finite set)

**Simple Finitary Example (co-inductive definition)** Let  $(S, \rightarrow)$  be a set and  $\rightarrow \subseteq S \times S$  a transition relation. Define  $D$  as the greatest subset of  $S$  such that if  $s \in D$  then:  $\exists s' s \rightarrow s'$  and  $s' \in D$ . We take as complete lattice the parts of  $S$  ordered by inclusion. The monotonic function  $f$  associated with the definition is for  $X \subseteq S$ :

$$f(X) = \{s \in X \mid \exists s' s \rightarrow s'\}.$$

suppose  $S = \{1, 2, 3, 4\}$  with :

- $1 \rightarrow 2 \quad 1 \rightarrow 3 \quad 1 \rightarrow 4$
- $3 \rightarrow 1$
- $4 \rightarrow 4$ .

The operator  $f$  has both a least fixed point and a greatest fixed point, which are the **smallest closed set** and the **largest consistent set**. What are they?

20

## Some more examples

21

### Lists over alphabet A

Consider the rules

$$\frac{}{\text{nil} \in \mathcal{L}} \quad \frac{\ell \in \mathcal{L} \quad a \in A}{\text{cons}(a, \ell) \in \mathcal{L}}$$

- Is there a smaller set closed under these rules? Is finite?
- Is there a larger set consistent with these rules?

22

### Lists over alphabet A

Consider the rules

$$\frac{}{\text{nil} \in \mathcal{L}} \quad \frac{\ell \in \mathcal{L} \quad a \in A}{\text{cons}(a, \ell) \in \mathcal{L}}$$

The **set (inductively) generated by these rules**, i.e., the smallest set closed under these rules: **finite lists**

Inductive proof technique for lists: Let  $\mathcal{P}$  be a predicate (a property) on lists. To prove that  $\mathcal{P}$  holds on all lists, prove that

- $\text{nil} \in \mathcal{P}$ ;
- $\ell \in \mathcal{P}$  implies  $\text{cons}(a, \ell) \in \mathcal{P}$ , for all  $a \in A$ .

23

### Lists over alphabet A

Consider the rules

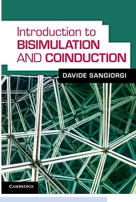
$$\frac{}{\text{nil} \in \mathcal{L}} \quad \frac{\ell \in \mathcal{L} \quad a \in A}{\text{cons}(a, \ell) \in \mathcal{L}}$$

What is the largest set consistent with these rules ?

i.e. the largest  $A \subseteq F(A)$   
 "all element that cannot be inferred from A are not in A"

24

Slides by Giovanni Bernardi (stages possibles!)



# Induction, co-induction, and fixed points

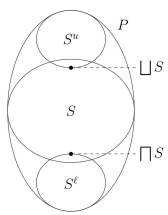
Co-induction is not (just) black magic

25

## memo

A relation  $\mathcal{R} \subseteq X \times X$  is a

- preorder** if it is reflexive and transitive
- partial order** if it is reflexive, antisymmetric, and transitive
- equivalence** if it is reflexive, symmetric, and transitive



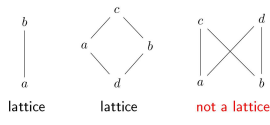
Sup(S) = least upper bound

Inf(S) = greatest lower bound

26

## Lattices

A poset  $\langle P, \leq \rangle$  is a *lattice* if for any two  $x, y \in P$  the set  $\{x, y\}$  has greatest lower bound and least upper bound.



not a lattice

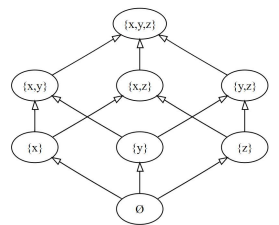
**Definition**  
A poset  $\langle P, \leq \rangle$  is a *complete lattice* if for every  $X \subseteq P$  the bounds  $\bigvee X$  and  $\bigwedge X$  exist in  $P$ .

27

## Complete Lattices

- If  $\langle P, \subseteq \rangle$  is a complete lattice then  $\text{glb/lub of } P \text{ exist in } P$ ,  
 $\bigcap P = \perp = \bigcup \emptyset$      $\bigcup P = \top = \bigcap \emptyset$ .
- Every finite lattice is complete.

For every set  $X$ , the poset  $\langle \mathcal{P}(X), \subseteq \rangle$  is a complete lattice.



28

A monotonic function  $f$  on a partial order  $L$  is a *function respecting the order*:

$$\forall x, y \ (x \leq y \text{ implies } f(x) \leq f(y))$$

29

## Fixed points

Let  $\langle P, \leq \rangle$  be a poset and let  $f$  be endofunction over  $P$ .  $f : P \rightarrow P$

- $\text{Fix}(f) = \{x \mid f(x) = x\}$  fixed points
- $\text{Pre}(f) = \{x \mid f(x) \leq x\}$  pre-fixed points
- $\text{Post}(f) = \{x \mid x \leq f(x)\}$  post-fixed points

notation:

- $\mu f$  least fixed point of  $f$
- $\nu f$  greatest fixed point of  $f$

Under which conditions a function has least/greatest fp ?

30

### Tarski-Knaster

**Theorem** Let  $f : L \rightarrow L$  be a monotonic function on a complete lattice. Then  $f$  has a greatest and a least fixed point expressed by:

$$\sup\{x \mid x \leq f(x)\} \quad \text{and} \quad \inf\{x \mid f(x) \leq x\}.$$

31

**Theorem**  
Let  $\langle L, \sqsubseteq \rangle$  be a complete lattice and  $f : L \rightarrow L$  be a monotone function.

(i)  $\nu f = \bigsqcup \{x \mid x \sqsubseteq f(x)\}$ , coinduction  
 (ii)  $\mu f = \bigsqcap \{x \mid f(x) \sqsubseteq x\}$ . induction

**Proof of (i).**  
Let  $a = \bigsqcup Post(f)$ . We have to show

(a)  $\forall x \in Fix(f). x \sqsubseteq a$   
 (b)  $f(a) = a$

Suppose  $x = f(x)$ .

(a)  $x \sqsubseteq f(x)$  by weakening  
 $x \sqsubseteq a$  by def. of upper bound

32

Recall  $a = \bigsqcup Post(f) = \bigsqcup \{x \mid x \sqsubseteq f(x)\}$ .

(b) We obtain  $f(a) = a$  by anti-symmetry if we show

- $a \sqsubseteq f(a)$ 
  - $\forall x \in Post(f). x \sqsubseteq a$  by def. upper bound
  - $\forall x \in Post(f). f(x) \sqsubseteq f(a)$  by monotonicity
  - $\forall x \in Post(f). x \sqsubseteq f(a)$  by transitivity
  - $f(a)$  upper bound of  $Post(f)$
  - $a \sqsubseteq f(a)$  by def. least upper bound
- $f(a) \sqsubseteq a$ 
  - $a \sqsubseteq f(a)$  by previous point
  - $f(a) \sqsubseteq f(f(a))$  by monotonicity of  $f$
  - $f(a) \in Post(f)$  by def. of  $Post(f)$
  - $f(a) \sqsubseteq a$  by def. of upper bound

□

33

### What we proved?

Given a set of rule, ie pairs  $(B, x)$ , where  $x \in U$  is the conclusion of the rule and  $B \subseteq U$  is the set of its premises

The operator  $F$  is defined by  
 $F(A) = \{x \in U \mid \exists B \subseteq A \text{ such that } (B, x) \text{ is a rule instance}\}$

$F(A)$  is the set of judgements that can be inferred in *one step* from the judgments in  $A$  by using the rules

$A$  is closed if  $F(A) \subseteq A$   
consistent if  $A \subseteq F(A)$

**The rules operator has both a least fixed point and a greatest fixed point, which are the smallest closed set and the largest consistent set:**

$$lfp(F) = \bigcap \{A \mid F(A) \subseteq A\};$$

$$gfp(F) = \bigcup \{A \mid A \subseteq F(A)\}.$$

34

### Inductive and co-inductive interpretation of rules

$$lfp(F) = \bigcap \{A \mid F(A) \subseteq A\};$$

$$gfp(F) = \bigcup \{A \mid A \subseteq F(A)\}.$$

- Induction principle:** to prove that all judgments in the inductive interpretation belong to a set  $A$ , show that  $A$  is  $F$ -closed.
- Coinduction principle:** to prove that all judgments in a set  $A$  belong to the coinductive interpretation, show that  $A$  is  $F$ -consistent.

$$A \subseteq F(A) \implies A \subseteq \{B \mid B \subseteq F(B)\} \implies A \subseteq \bigcup \{B \mid B \subseteq F(B)\} = GFP(F).$$

35

### Non-termination (co-inductive def.)

$$\frac{P \rightarrow P' \quad P' \uparrow}{P \uparrow}$$

The largest subset  $D \subseteq S$  such that (\*\*) if  $P \in D$  then there is  $P' \in D$  such that  $P \rightarrow P'$

Suppose that program  $\Omega$  reduces to itself, that is  $\Omega \rightarrow \Omega$ .  
 To see that  $\Omega$  contained in  $D$ ,  
 Consider set  $X = \{\Omega\}$ .  
 Since  $X$  satisfies (\*\*), then  $X \subseteq D$ , as  $D$  is the greatest such set.  
 Hence  $\Omega$  is a member of  $D$ .

Start with the set  $S$  of all elements. Then repeatedly remove  $P$  from the set if  $P$  has no reduction step.

36

### Finite list (inductive method)

$$\frac{}{\text{nil} \in \mathcal{L}} \quad \frac{\ell \in \mathcal{L} \quad a \in A}{\text{cons}(a, \ell) \in \mathcal{L}}$$

$\mathcal{F}(S) \triangleq \{\text{nil}\} \cup \{\text{cons}(a, s) : a \in A, s \in S\}$

Proving  $\mathcal{F}(\mathcal{P}) \subseteq \mathcal{P}$  requires proving

- $\text{nil} \in \mathcal{P}$ ;
- $\ell \in \mathcal{P}$  implies  $\text{cons}(a, \ell) \in \mathcal{P}$ , for all  $a \in A$ .

This is the same as the familiar induction technique for lists

37

### Lists (inductive method)

$$\frac{}{\text{nil} \in \mathcal{L}} \quad \frac{\ell \in \mathcal{L} \quad a \in A}{\text{cons}(a, \ell) \in \mathcal{L}}$$

$\mathcal{F}(S) \triangleq \{\text{nil}\} \cup \{\text{cons}(a, s) : a \in A, s \in S\}$

Inductive proof technique for lists: Let  $\mathcal{P}$  be a predicate (a property) on lists. To prove that  $\mathcal{P}$  holds on all lists, prove that

- $\text{nil} \in \mathcal{P}$ ;
- $\ell \in \mathcal{P}$  implies  $\text{cons}(a, \ell) \in \mathcal{P}$ , for all  $a \in A$ .

38

### EX Lists (coinductive method)

$$\frac{}{\text{nil} \in \mathcal{L}} \quad \frac{\ell \in \mathcal{L} \quad a \in A}{\text{cons}(a, \ell) \in \mathcal{L}}$$

$\mathcal{F}(S) \triangleq \{\text{nil}\} \cup \{\text{cons}(a, s) : a \in A, s \in S\}$

Show that the infinite list  $s1 = \mathbf{c} \mathbf{b} \mathbf{c} \mathbf{b} \mathbf{c} \dots$  is in the set coinductively defined by the two rules above, assuming  $\mathbf{c}, \mathbf{b} \in A$

1. Let us try  $T = \{s1\}$  and check that  $T$  is consistent with the rules, ie  $T \subseteq \mathcal{F}(T)$
2. We strengthen the hypothesis. Take  $s2 = \mathbf{b} \mathbf{c} \mathbf{b} \mathbf{c} \mathbf{b} \dots$ . Let us try  $T = \{s1, s2\}$ , and check that  $T \subseteq \mathcal{F}(T)$

**Therefore,  $\{s1, s2\} \subseteq \text{gfp } \mathcal{F}$**

for a given  $T$ ,  
if for all  $x \in T$  there is a rule  $(S, x) \in \mathcal{R}$  with  $S \subseteq T$ ,  
then  $T \subseteq \text{gfp}(\Phi_{\mathcal{R}})$

39

## Constructing the fixpoint

40

### A function $F$ on a complete lattice is

- **continuous** if for all sequences  $\alpha_0, \alpha_1, \dots$  of **increasing points** in the lattice (i.e.,  $\alpha_i \leq \alpha_{i+1}$ , for  $i \geq 0$ ) we have  $F(\bigcup_i \alpha_i) = \bigcup_i F(\alpha_i)$ ;
- **cocontinuous** if for all sequences  $\alpha_0, \alpha_1, \dots$  of **decreasing points** in the lattice (i.e.,  $\alpha_i \geq \alpha_{i+1}$ , for  $i \geq 0$ ) we have  $F(\bigcap_i \alpha_i) = \bigcap_i F(\alpha_i)$ . □

EX.  
If  $F$  is co-continuous (or continuous), then it is also monotone.  
(Hint: take  $x \geq y$ , and the sequence  $x, y, y, y, \dots$ )

41

$$F^0(x) \stackrel{\text{def}}{=} x,$$

$$F^{n+1}(x) \stackrel{\text{def}}{=} F(F^n(x)).$$

$$F^{\cup\omega}(x) \stackrel{\text{def}}{=} \bigcup_{n \geq 0} F^n(x),$$

$$F^{\cap\omega}(x) \stackrel{\text{def}}{=} \bigcap_{n \geq 0} F^n(x).$$

**Theorem 2.8.5 (Continuity/Cocontinuity Theorem)** Let  $F$  be an endofunction on a complete lattice, in which  $\perp$  and  $\top$  are the bottom and top elements. If  $F$  is continuous, then

$$\perp \text{fp}(F) = F^{\cup\omega}(\perp);$$

if  $F$  is cocontinuous, then

$$\text{gfp}(F) = F^{\cap\omega}(\top).$$

□

The sequence  $F^0(\perp), F^1(\perp), \dots$  is increasing, whereas  $F^0(\top), F^1(\top), \dots$  is decreasing.

42

### Co-continuity

**Ex**

- i. Prove that if  $F$  is co-continuous (or continuous), then it is also monotone. (Hint: take  $x \geq y$ , and the sequence  $x, y, y, y, \dots$ )
- ii. Prove co-continuity Theorem

Point ii is more important

43

## Simulation

Back where we started... (to be continued next week)

44

### CbN Simulation

We consider **weak call-by-name  $\lambda$  calculus**. We write  $\Downarrow$  for  $\Downarrow^n$

**Definition 185 (simulation)** We say that a binary relation on closed terms  $S$  is a **simulation** if whenever  $(M, N) \in S$  we have: (1) **if  $M \Downarrow$  then  $N \Downarrow$** , and (2) **for all  $P$  closed  $(MP, NP) \in S$** . We shall also use the infix notation  $M \leq_S N$  for  $(M, N) \in S$ . We define  $\leq_S$  as the largest simulation.

---

(recall that the set of binary relations is a complete lattice under set inclusion.)

$\leq_S$  is the largest fixed point of the following function on binary relations

$$f(S) = \{(M, N) \mid M \Downarrow \text{ implies } N \Downarrow, \forall P \text{ closed } (MP, NP) \in S\}$$

CO-INDUCTIVE DEFINITION

45

### Ex. CbN Simulation

To prove that  $M \leq_S N$  ( $M, N$  closed) it suffices to find a relation  $S$  which is a simulation and such that  $M \leq_S N$ .

**EX**

- i. Show that  $\leq_S$  is a preorder over  $\Lambda$  i.e a reflexive and transitive binary relation
- ii. Is the union of two simulations a simulation?
- iii. If  $M \Downarrow V$  and  $N \Downarrow V$ ,  $M, N$  closed, then  $M =_S N$ . Prove it.

46

## Homework

47

### Ex. 1 Consider the strings over an alphabet $\Sigma$

- Consider the set  $S$  coinductively defined by the following rules (where  $\Sigma$  is an alphabet)
 

$$\frac{}{\epsilon \in S} \qquad \frac{s \in S \quad \sigma \in \Sigma}{\sigma s \in S}$$

The largest set  $S$  such that  $\epsilon \in S'$  and that if  $\sigma s \in S$ , then  $s \in S$  (and  $\sigma \in \Sigma$ ).
- Consider the relation on elements of  $S$  co-inductively defined by the rules (where  $\leq$  is the relation)

$$\frac{}{\epsilon \leq \epsilon} \qquad \frac{\sigma_1 \leq \sigma_2 \quad s_1 \leq s_2}{\sigma_1 s_1 \leq \sigma_2 s_2}$$

$$F(X) = \{(\epsilon, \epsilon)\} \cup \{(\sigma_1 s_1, \sigma_2 s_2) \mid \sigma_1 \leq \sigma_2 \wedge (s_1, s_2) \in X\}$$

**EX.** Prove that  $aaaaa\dots \leq baaaa\dots$  (the two strings are infinite)

48



## Ex. 2 CbN Simulation

To prove that  $M \leq_S N$  ( $M, N$  closed) it suffices to find a relation  $S$  which is a simulation and such that  $M S N$ .

EX

- Show that  $\leq_S$  is a preorder over  $\Lambda$  i.e. a reflexive and transitive binary relation
- Is the union of two simulations a simulation?
- If  $M \Downarrow V$  and  $N \Downarrow V$ ,  $M, N$  closed, then  $M =_S N$ . Prove it.

49

## Inductive and co-inductive methods

50

## Induction and co-induction principle

A set  $\mathcal{R}$  of rules on  $\mathcal{A}$  yields a monotone operator

$$\Phi_{\mathcal{R}}(T) = \{x \mid (T', x) \in \mathcal{R} \text{ for some } T' \subseteq T\}$$

if  $\Phi_{\mathcal{R}}(T) \subseteq T$  then  $\text{lfp}(\Phi_{\mathcal{R}}) \subseteq T$ ,  
if  $T \subseteq \Phi_{\mathcal{R}}(T)$  then  $T \subseteq \text{gfp}(\Phi_{\mathcal{R}})$ .

51


## Induction

A set  $T$  being a pre-fixed point of  $\Phi_{\mathcal{R}}$  (i.e., the hypothesis  $\Phi_{\mathcal{R}}(T) \subseteq T$ ) means that

for all rules  $(S, x) \in \mathcal{R}$ , if  $S \subseteq T$ , then also  $x \in T$ .

The Fixed-point Theorem tells us that the least fixed point is the least pre-fixed point: the set inductively defined by the rules is therefore the smallest set closed.

Let  $T$  be a property

for a given  $T$ ,  
if for all rules  $(S, x) \in \mathcal{R}$ ,  $S \subseteq T$  implies  $x \in T$   
then  $\text{lfp}(\Phi_{\mathcal{R}}) \subseteq T$ . 

if we have a property  $T$ , and we wish to prove that all elements in the set inductively defined by  $\Phi$  have the property, we have to show that  $T$  is a pre-fixed point of  $\Phi$


52

## Co-Induction

In the case of coinduction, the hypothesis is that  $T$  is a post-fixed of  $\Phi_{\mathcal{R}}$

for all  $x \in T$ , there is a rule  $(S, x) \in \mathcal{R}$  with  $S \subseteq T$ .

That is, each element of  $T$  is conclusion of a rule whose premises are satisfied in  $T$ .

for a given  $T$ ,  
if for all  $x \in T$  there is a rule  $(S, x) \in \mathcal{R}$  with  $S \subseteq T$ ,  
then  $T \subseteq \text{gfp}(\Phi_{\mathcal{R}})$  

53